

# **Agenda**

- Introduction and coverage
- Key features of polymer indexing
- Searching polymer indexing
- Essential user guides
- Search tips and indexing conventions





### **DWPI Enhanced Polymer Indexing**

- A deep indexing system covering all important polymer related information from DWPI basic patents
- Both generic and specific concepts are indexed
- The indexing contains additional information not present in the DWPI abstract
- Generates unique hits compared to text searching, IPC's etc.
- Replaced "Plasdoc Coding" in 1993.
- Coverage starts from DWPI update 199332
- Searchable by subscribers\*
- Using the /PLE field, in either WPIDS or WPIX

(\* An appropriate level of Derwent subscription is required.)





### **Example: DWPI polymer indexing**

```
2010-A01652 [201004] WPIX Full-text
TI Polishing pad for use in e.g. chemical mechanical planarization process
    has pores in polishing surfaces of polishing elements which are affixed to
    support layer to allow movement only along axis normal to polishing
     surface of elements
DC A88; P61; U11
IN JOSEPH W D: JOSEPH W
PA (MINN-C) 3M INNOVATIVE PROPERTIES CO; (MINN-C) 3M INNOVATIVE PROPERTIES
     CORP; (MINN-C) 3M INNOVATIVE PROPERTIES
PI WO 2009158665 A1 20091230 (201004)* EN 39[7]
        RW:AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LT LU LV
            MC MK MT NL NO PL PT RO SE SI SK TR OA BW GH GM KE LS MW MZ NA SD
            SL SZ TZ UG ZM ZW EA
         W:AE AG AL AM AO AT AU AZ BA BB BG BH BR BW BY BZ CA CH CL CN CO CR
            CU CZ DE DK DM DO DZ EC EE EG ES FI GB GD GE GH GM GT HN HR HU ID
            IL IN IS JP KE KG KM KN KP KR KZ LÅ LC LK LR LS LT LU LY MÅ MD ME
            MG MK MN MW MX MY MZ NA NG NI NO NZ OM PE PG PH PL PT RO RS RU SC
            SD SE SG SK SL SM ST SV SY TJ TM TN TR TT TZ UA UG US UZ VC VN ZA
            ZM ZW
     KR 2011019442 A 20110225 (201119) KO
     TW 2010008701 A 20100301 (201122) ZH
     EP 2318180
                    A1 20110511 (201131) EN
         R:AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU
            LV MC MK MT NL NO PL PT RO SE SI SK TR ÅL BÅ RS
     US 20110159786 A1 20110630 (201143) EN
     CN 102131618
                   A 20110720 (201153) ZH
     JP 2011526218 T 20111006 (201165) JA 26
     TW I396603
                    B 20130521 (201361) ZH
     SG 167517
                    A1 20110128 (201407) EN
     SG 167517
                    B 20130715 (201416) EN
     US 8821214
                    B2 20140902 (201458) EN
     JP 5596030
                    B2 20140924 (201462) JA 19
```





## **Example: DWPI polymer indexing**

PLE	UPA 201	00115	
	[1.1]	2004 G0828 G0817 D01 D12 D10 D51 D54 D56 D58 D69 D84 Cl 7A DCN:	
		R01079 DCR: 140524; H0000; H0124-R; S9999 S1309-R; S9999	
		S1605-R; P0328; P0340;	
[1.2] 2004 G0102 (		2004 G0102 G0022 D01 D02 D12 D10 D19 D18 D31 D51 D53 D58 D76 D88	
		DCN: R00708 DCR: 368; G0828 G0817 D01 D02 D12 D10 D51 D54 D56	
		D58 D84 DCN: R00806 DCR: 129411; H0022 H0011; H0124-R; S9999	
		S1309-R; S9999 S1605-R; P0328; P1741; P0351; P0362;	
	[1.3]	2004 D01 D02 D03 D12 D10 D51 D53 D59 D85 P0599 H0124 B5061 DCN:	
		R24073 DCR: 135413; S9999 S1309-R; S9999 S1605-R;	
	[1.4]	2004 P1445-R F81 Si 4A; S9999 S1309-R; S9999 S1605-R;	
[1.5] 2004 ND01; K9416; Q9999 Q6600; K9870 K9847 K9790; B9999			
		B4240; ND07; K9745-R; B9999 B5221 B4740; N9999 N6484-R N6440;	
		K9778 K9745; N9999 N6940 N6939; N9999 N6291 N6268; N9999 N6315	
		N6268; N9999 N6086; N9999 N5721-R;	
	2004 G2335 D00 F20 C- 4A O- 6A DCN: R01066 DCR: 255; A999 A282		
		A260;	
	[1.7]	2004 A999 A475;	
	[2.1]	2004 P1707 P1694 D01;	
	[2.2]	2004 G0260-R G0022 D01 D12 D10 D26 D51 D53; H0000; P0088;	
	[2.3]		
	[2.4]	2004 ND01; K9416; Q9999 Q6600; K9870 K9847 K9790; B9999 B4397	
		B4240; ND07; K9745-R; K9574 K9483;	
	[3.1]		
	[3.2]	2004 ND01; ND07; Q9999 Q6600; Q9999 Q6644-R; N9999 N5721-R;	
		B9999 B3407 B3383 B3372; K9745-R; K9574 K9483;	

DWPI polymer indexing is searched and displayed using the PLE field.





## Information indexed

- All polymer related information is indexed from:
  - The patent claims
  - DWPI documentation abstract
  - Claims related example(s)





#### Not covered

- Starting materials and intermediates for polymer formers and additives
- Chemical processes for catalyst production
- Generic or Markush Modifying Agents
- Starting materials, chemical processes, intermediates, or catalysts for modifying agent production
- Compounds present which are not additives, catalysts or modifying agents for the polymer
  - e.g., a cosmetic containing vitamin E and carboxymethylcellulose





# Key features of polymer indexing (/PLE)

- Separate hierarchies (Facets) of related codes
  - Structural and Non-structural Facets
  - Each Facet has a unique code format
- Chemical aspects (fragment) codes for indexing chemical structures (polymers, additives, etc.)
- Auto-posting of codes to simplify searching
  - Up-posting of generic terms within code Facet
  - Cross-posting of related terms between Facets
- Precision linking of related terms
  - Using multiple proximity operators





# Structural Facets (hierarchies)

#### CODE FORMAT

Polymer Formers

(Rnnnnn, Gnnnn)

i.e., monomers and condensants

Polymer Types

(Pnnnn)

Modified Polymers

(Mnnnn)

Natural Polymers

(Rnnnn, Gnnnn)

Chemicals

(Rnnnnn, Gnnnn)

Modifying Agents

(Rnnnnn, Gnnnn)

Chemical Aspects

(Dnn, Dnnn, Enn, Fnn, Fnnn)

i.e., chemical fragment codes





# Non-structural Facets (hierarchies)

#### **CODE FORMAT**

Novelty Descriptors (NDnn)

Universal Terms (Knnnn)

Polymer Descriptors (Hnnnn)

e.g., thermoplastic, graft copolymer

Shape & Form (Snnnn)

e.g., fibre, powder, foam

- Additive Type (Annn)

Catalyst Type (Cnnn)





# Non-structural Facets (hierarchies) (cont.)

#### **CODE FORMAT**

Chemical Processes (Lnnnn)

Physical Operations (Nnnnn)

Equipment (Jnnnn)

Properties (Bnnnn)

Polymer Applications (Qnnnn)





# Chemical aspects (fragment) codes

- Chemical Aspects are chemical fragment codes indexed for:
  - specific compounds (in addition to the SCN)
  - generic compounds
  - Markush structures
  - atoms incorporated into a polymer by modification
- Chemical aspects index all polymers, additives, catalysts and modifying agents
- Code format
  - Dnn, Dnnn, Enn, Fnn, Fnnn
  - element symbols & groups





# Chemical aspects codes (cont.)

- General terms
  - e.g., organic or inorganic
- Ring systems
  - number of rings
  - atoms in rings
  - size of rings
- Broad functionality terms
  - e.g., D60 Acid
- C-C unsaturation





# Chemical aspects codes (cont.)

- Carbon count
- Specific functionality terms (Fnn)
  - e.g., F70 carboxylic amide
- Acid Derivative terms (Enn)
  - e.g., E21 terephthalic derivatives
- Elements and groups of the periodic table
  - Including generic terms for General Metal & for Transition Metal





# Specific Compound Numbers (SCNs)

- Common fully defined compounds are indexed with their own specific codes – known as SCNs
  - Code format: Rnnnnn
  - e.g. R24001 sodium acrylate
- The corresponding DWPI Chemistry Resource (DCR) numbers are also indexed and searchable
  - e.g. 135176 sodium acrylate
- All polymer formers are indexed either by SCNs or generic codes (Gnnnn)





# **Auto-posting of codes**

- In addition to the codes chosen by the indexer, the online record contains related codes that are automatically indexed
- Two types of auto-posting:
  - Up-posting
    - All broader codes further up the hierarchy from the indexed code are automatically indexed
  - Cross-posting
    - Related codes from other hierarchies are additionally indexed
- Benefit easy generic searching





### **Example: Up-posting of codes**

```
Polymer Formers
G0022 Monoolefinic
          NT Acrylics monoolefinic
G0260
          (+ G0022 auto-posted)
             NT Acrylic acids monoolefinic
G0271
             (+ G0022, G0260 auto-posted)
G0282
                 NT Acrylic acid/salts
                 (+ G0022, G0260, G0271 auto-posted)
                    NT Acrylic acid
R00446
                    (+ G0022, G0260, G0271, G0282 auto-posted)
                    NT Sodium acrylate
R24001
                    (+ G0022, G0260, G0271, G0282 auto-posted)
          20110302
PLE
    UPA
              2004 G0282 G0271 G0260 G0022 D01 D12 D10 D26 D51 D53 D58
     [1.1]
              D61 D83 F36 F35 Na 1A DCN: R24001 DCR: 135176; H0000; P0088;
```





### **Example: Cross-posting of codes**

#### R24001 Sodium Acrylate CH2=CHCOONa

#### All relevant chemical aspect codes are auto-posted:

D01	Organic	F36	Monocarboxylic acid (salt)
D26	Acrylic unsaturated chain (96)	F35	Carboxylic acid (salt)
D12	Unsaturated chain	Na	Sodium Group 1A
D10	Aliphatic chain	1A	
D53	Monoolefinic unsaturation		
D51	Unsaturation containing		
D58	Terminal olefinic unsaturation		
D61	Salt/Complex		
D83	Carbon Count		

```
PLE UPA 20110302
[1.1] 2004 G0282 G0271 G0260 G0022 D01 D12 D10 D26 D51 D53 D58
D61 D83 F36 F35 Na 1A DCN: R24001 DCR: 135176; H0000; P0088;
```





# Precision linking of related terms

- Each separate polymer concept is indexed with its associated terms (additives, catalysts, properties, applications, etc.) to form a "Linking group" of codes
- There may be several Linking groups included in the indexing – each Linking group represents a different polymer concept in the record
- Each Linking group is completely separated from other Linking groups in the record, maximizing precision and minimizing noise





### Why are Linking groups important?

- Example 1 A patent describing a new bottle made from polyethylene terephthalate and having a cap made from polyolefin, e.g., polypropylene or ethylene copolymer.
  - This patent has two polymer concepts (bottle and cap) and so would be indexed as two Linking groups.
- Example 2 A patent for a new tri-layer film, the outermost layer is heat resistant polyamide, the middle layer is aluminium and the inner layer is impermeable PVC.
  - Again this patent has two polymer concepts (outer layer and inner layer), so two Linking groups would be made.





## Three levels of linking

- All the codes within a single Linking group are linked together at Level 3
- Level 2 and Level 1 linking are used to indicate very closely related codes within a Linking group
  - e.g. "copolymer" linked to "acrylonitrile" and "butadiene"
- To search for codes from across different Linking groups (polymer concepts) the AND operator is used





# Three levels of linking (cont.)

- **Level 3**: Widest level a "Linking group"
  - Links related substances together
    - e.g. polymer with additive(s) or catalyst(s)
  - Links the polymer concept with properties and applications
- **Level 2**: Middle level indexing for each substance
  - Links a compound with its function, shape or form
  - Links co-monomers together in a copolymer
- **Level 1**: Tightest level Chemical Aspect (fragment) codes
  - Links structural fragments together within a substance





#### Three linking operators on STNext

#### Linking level Proximity operator

- (3) (widest) (L) Linking Group
- (2) (middle) (P) Paragraph
- (1) (tightest) (S) Sentence





### Hypothetical linking group example

#### Polymer composition

- Styrene-maleic anhydride binary copolymer
- Calcium carbonate filler
- Zinc stearate lubricant
- Granulation into a powder

#### Linking diagram

To help visualise the linking levels

#### Format for an online record

What the indexing would look like online

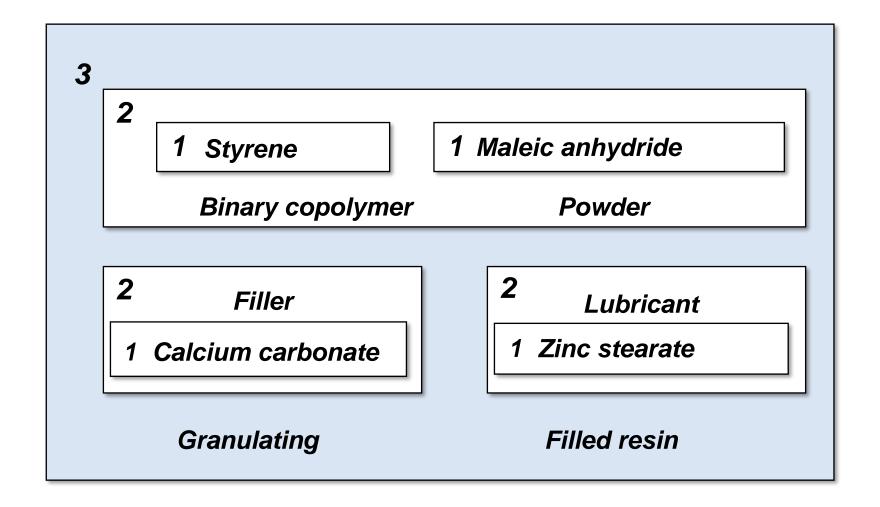
#### Format for a search strategy

How terms would be combined with operators





## Linking group diagram for the example







# The linking group example as it would look indexed in DWPI

```
2004; R00708 G0102 G0022 D01 D02 D12 D10 D19 D18
     D31 D51 D53 D58 D76 D88; R00843 G0760 G0022 D01
     D23 D22 D31 D42 D51 D53 D59 D65 D75 D84 F39 E00
     E01; H0022 H0011; S9999 S1514 S1456; P1741
1.2] 2004; N9999 N6144; K9449
[1.3] 2004; R01278 D00 F44 C- 4A O- 6A Ca 2A; A999 A237
    2004; R01377 D01 D11 D10 D50 D61D95 F36 F35 Zn 2B
     Tr; A999 A340-R
```

The codes shown in **bold** are those which are intellectually indexed. All others are auto-posted codes.





# The linking group example as it would look as a search strategy

L1: => S (R00708 (P) R00843 (P) H0022(P) S1514)/PLE

L2: => S (R01278 (P) A237)/PLE

L3: => S (R01377 (P) A340)/PLE

L4: => S (N6144 (L) K9449)/PLE

L5: => S L1 (L) L2 (L) L3 (L) L4

L1 = polymer; L2 = filler; L3 = lubricant; L4 = granulating + filled resin.





# Polymer Indexing display formats

PLE

HITPLE

CODE (IND)

HITCODE

All enhanced polymer indexing

Hit polymer indexing paragraphs

All patent classifications, Manual Codes, DCR indexing, chemical

and polymer subscriber indexing

Hit classification codes, and hit subscriber indexing paragraphs





### **Example: HITPLE display**

```
=> S (R00708(P)R00806(P)H0022)/PLE
                                                                  R00708 = Styrene
       350196 R00708/PLE
                                                                  R00806 = Butadiene
       191607 R00806/PLE
       578394 H0022/PLE
                                                                  H0022
        69173 (R00708(P)R00806(P)H0022)/PLE
L1
=> D BIB HITPLE
    ANSWER 1 OF 69173 WPIX COPYRIGHT 2022 CLARIVATE ANALYTICS on STN
    2022-28176D [2022019] WPIX Full-text
    Making aggregate used as replacement for natural aggregate in concrete,
    comprises treating plastic particles with low pressure plasma and/or
    electron beam to provide treated particles, where plasma comprises ions
    formed from precursors
    A93; L02
    BOULDING N A; BUCKLEY P D; BUSH S P
    (SPHE-N) SPHERA LTD
CYC
    135
                   A1 20220224 (2022019)* EN 63[16]
     WO 2022038354
     WO 2022038354 A1 WO 2021-GB52141 20210818
     GB 2020-12895
                         20200818
PLE UPA
          20220309
     Γ1.87
              2004 G0102 G0022 D01 D02 D12 D10 D19 D18 D31 D51 D53 D58 D76 D88
               DCN: R00708 DCR: 368; G0828 G0817 D01 D02 D12 D10 D51 D54 D56
              D58 D84 DCN: R00806 DCR: 129411; H0022 H0011; P0328; P1741;
              P0351;
```



= Binary copolymer



# **Searching for polymers**

- Polymer formers
- Polymer types
- Modified polymers
- Natural polymers
- Chemical aspects





# **Addition polymers**

- Addition polymers have monomer-based indexing
  - e.g., Polymethylmethacrylate:methylmethacrylate + homopolymer=> S (R00479 (P) H0000)/PLE
  - e.g., Ethylene-propylene binary copolymer:
     ethylene + propylene + binary copolymer
     => S (R00326 (P) R00964 (P) H0022)/PLE
- Common addition polymers are also searchable as a single cross-posted polymer type code, e.g.,
  - polymethylmethacrylate: => S P0113/PLE
  - ethylene-propylene binary copolymer: => S P1285/PLE





## Addition polymers (cont.)

```
Search monomer indexing:
                                             R00326 = Ethylene
=> S (R00326 (P) R00964 (P) H0022)/PLE
                                             R00964 = Propylene
                                             H0022 = Binary copolymer
        479661 R00326/PLE
        330925 R00964/PLF
        578394 H0022/PLF
        31791 (R00326 (P) R00964 (P) H0022)/PLE
L1
  S P1285/PLE
                                             Search Polymer Types:
                                             P1285 = ethylene-propylene binary copolymer
         31791 P1285/PLE
L2
```





# **Condensation polymers**

- Monomers/condensants are typically only indexed when stated in the patent
  - e.g., Polyethylene terephthalate (PET) from ethylene glycol and terephthalic acid:

```
PET + ethylene glycol + terephthalic acid + binary copolymer => S (P0884(P)R00822(P)R00702(P)H0022)/PLE
```

- PET with no further monomer/condensant details is indexed as PET only: => S P0884/PLE
  - i.e. for all references to PET, just search P0884





### **Condensation polymers (cont.)**

```
=> S (P0884 (P) R00822 (P) R00702 (P) H0022)/PLE
        185160 P0884/PLF
                                      R00822 = Ethylene glycol
         38878 R00822/PLE
                                      R00702 = Terephthalic acid
         23228 R00702/PLE
                                              = Binary copolymer
                                      H0022
        578394 H0022/PLE
          3408 (P0884 (P) R00822 (P) R00702 (P) H0022)/PLE
L3
                                              = Polyethylene terephthalate (PET)
                                      P0884
  S P0884/PLE
L4
        185160 P0884/PLE
```

i.e., for all references to PET, just search P0884 (L2).





### **Condensation polymers (cont.)**

When no polymer formers are stated, polymers are often indexed by structural repeat unit (SRU)

#### Indexed as:

(P1978-R (2) D01 (2) D14 (2) D19 (2) D32 (2) D76 (2) D50 (2) D93 (2) E21 (2) F90)

i.e. polyester polymer type code + chemical aspects for the repeat unit Each chemical aspect is <u>indexed</u> at Level (2) to the polymer type code.





## **Condensation polymers (cont.)**

```
=> S (P1978-R (P) (D01 (S) D14 (S) D19 (S) D32 (S) D76 (S) D50 (S) D93 (S) E21 (S) F90))/PLE
        93672 P1978-R/PLE
                                          SRU search – see previous slide.
      3215492 D01/PLE
       130124 D14/PLE
      1022005 D19/PLE
       423098 D32/PLE
      1460109 D76/PLE
      1746027 D50/PLE
                                           Note: Each chemical aspect code is
       527235 D93/PLE
       236512 "E21"/PLE
                                           searched using (P) to the polymer type.
       312935 F90/PLE
           92 (P1978-R (P) (D01 (S) D14 (S) D19 (S) D32 (S) D76 (S) D50 (S)
L1
             D93 (S) "E21" (S) F90))/PLE
=> D HITPLE
     ANSWER 1 OF 92 WPIX COPYRIGHT 2022 CLARIVATE ANALYTICS on STN
PLE
    UPA 20201111
             2004 G0997-R D01 F26 E21 E00 D11 D10 D19 D18 D76 D50
    Γ1.97
             F90 F41 D14 D13 D32 D93; H0011-R; H0293; P1978-R P0839
             D01 D50 D63 F41;
```





#### **Condensation polymers (cont.)**

If polymer formers are stated, these are indexed

 e.g., if the polymer from the previous slide is prepared from terephthalic acid and 1,4-cyclohexane diol

#### Indexed as:

(P1978 (2) R00702 (2) (G1069 (1) D01 (1) D14 (1) D31 (1) D76 (1) D50 (1) D86 (1) F28) (2) H0022)

i.e. polyester polymer type + terephthalic acid + (other diol + chemical aspects for cyclohexane diol) + binary copolymer

For complete retrieval, search both SRU and monomer based indexing





### **Searching for additives**

#### Additives can be searched

- by chemical composition
- by function

#### Triethylphosphate heat stabilizer

```
=> S (R00424 (P) A511)/PLE

1735 R00424/PLE
37676 A511/PLE
L2 100 (R00424 (P) A511)/PLE

=> D HITPLE

L2 ANSWER 1 OF 100 WPIX COPYRIGHT 2022 CLARIVATE ANALYTICS on STN
PLE UPA 20211227

[1.4] 2004 D00 D60 H- O- 6A P- 5A DCN: R01711 DCR: 63; G3327 D01 D11
D10 D50 D63 D83 F53 DCN: R01309 DCR: 201; G3327 D01 D11 D10 D50
D63 D86 F53 DCN: R00424 DCR: 514; G3327 D01 D19 D18 D33 D50
D63 D76 D93 F53 DCN: R00973 DCR: 1275; A999 A511-R A486;
```





### **Searching for catalysts**

#### Catalysts can be searched:

- by chemical composition
- by type
- by function

#### Potassium persulphate free radical initiator

```
=> S (R01737 (P) C088)/PLE

18499 R01737/PLE
90292 C088/PLE
L3 15716 (R01737 (P) C088)/PLE

=> D HITPLE

L3 ANSWER 1 OF 15716 WPIX COPYRIGHT 2022 CLARIVATE ANALYTICS on STN PLE UPA 20220304

[2.3] 2004 D00 F48 F60 K- 1A O- 6A S- DCN: R01737 DCR: 448; D00 F16
F48 F60 H- N- 5A O- 6A S- DCN: R03252 DCR: 532; D00 Na 1A O- 6A S- DCN: R01745 DCR: 107368; C999 C099-R C088 C000; C999
C293-R; C999 C340-R;
```





#### **Building search strategies**

Create separate search statements for each component

- for polymeric components
- for non-polymeric components

Combine the statements together with the appropriate proximity operators





# **Building strategies (cont.)**

Emulsion copolymerization of a vinyl halide and an alpha-olefin using sulphonate dispersant

#### – Polymer:

- L1 => S (G0544 (P) G0033 (P) H0022 (P) L2551) /PLE
- Additive:
  - L2 => S (A624 (P) F62) / PLE
- Combine together:
  - L3 => S L1 (L) L2





# **Building strategies (cont.)**

```
=> S (G0544 (P) G0033 (P) H0022 (P) L2551) /PLE
        227793 G0544/PLE
        725823 G0033/PLE
        578394 H0022/PLE
         31546 "L2551"/PLE
          129 (G0544 (P) G0033 (P) H0022 (P) "L2551") /PLE
=> S (A624 (P) F62) /PLE
        114820 A624/PLE
        141572 F62/PLE
L2
         12682 (A624 (P) F62) /PLE
=> S L1 (L) L2
L3
            21 L1 (L) L2
```

```
=> D HITPLE
    ANSWER 1 OF 21 WPIX COPYRIGHT 2022 CLARIVATE ANALYTICS on STN
PLE UPA 20150506
    [1.1]
              2004 G0033-R G0022 D01 D02 D51 D53; G0577 G0566 G0022 D01 D12
              D10 D51 D53 D58 D63 F41 F89; G0588-R G0022 D01 D12 D10 D51 D53
              D58 F34; G0102-R G0022 D01 D12 D10 D18 D51 D53; G0475-R G0260
              G0022 D01 D12 D10 D26 D51 D53 F12; G0793 G0760 G0022 D01 D51
              D53; G0544 G0022 D01 D12 D10 D51 D53 D58 D69 D82 C1 7A DCN:
              R00338 DCR: 621; L9999 L2528 L2506; L9999 L2551 L2506; S9999
              S1025 S1014; S9999 S1047 S1014; H0022 H0011; P1150; P1741;
              P1796; P0088;
              2004 D01 D11 D10 D50 D63 D84 F89 F41 F62; D01 D12-R D10 D53
    [1.4]
              D51 D58 F62; D01 D11 D10 F53; G2028 D01 D19 D18 D31 D50 D60
              D76 D86 F62 DCN: R00667 DCR: 453; D01 F35-R D60; D11 D10 D01
              D60 F62; F60 D01 D11 D10; A999 A635 A624 A566; A999 A771;
```





#### **Essential User Guides**

- Polymer Indexing System Description
- Polymer Indexing Hierarchy
- Polymer Indexing Reference Manual
- Polymer Indexing Thesaurus
- Available in print or as PDF download from:

https://clarivate.com/intellectual-property/training-support/derwent/dwpi-reference-center/indexing-user-guides/polymer-indexing/





#### **Documentation 'operators'**

BT Broader Term

NT Narrower Term

UF Used For

USE ...directs the user to the preferred code concept

SEE ...nearest concept available

SA See Also

(96) Code only available from Derwent update 199601

(04) Code only available from Derwent update 200403

(2004) Code only available from Derwent update 200403

**Remember:** Level (1) = (S), Level (2) = (P) and Level (3) = (L).





### **Polymer Indexing Hierarchy**

- Concepts grouped by hierarchy
- Codes for all the primary terms
- Narrower Terms or sub-divisions (NT)
- Used For terms (UF) to indicate synonyms
- See Also terms (SA) for other related concepts
- Scope notes "..." to explain the use and limitation of the term





#### **Example: Polymer Indexing Hierarchy**

#### **Physical Operations**

N6611 Process control

N6622 NT Automation

UF Computer control

N6633 NT Temperature control

SA pH control

N6644 Purging

**UF Flushing** 





### **Example: Polymer Indexing Hierarchy**

#### **Chemicals**

R05085 Carbon black

UF Acetylene black

UF Activated charcoal

SA Carbon

SA Graphite

G2675 Chromium chlorides (gen)

"Used when no specific chromium chloride given"

R10690 NT Chromium (II) chloride

R01883 NT Chromium (III) chloride





# **Polymer Indexing Thesaurus**

- Alphabetical listing of concepts
- All main concepts with hierarchies
- Secondary concepts (synonyms)
- Codes for both main and secondary concepts
- All relationships listed under the concepts
- Only the next level of Narrower or Broader Terms shown





### **Example: Polymer Indexing Thesaurus**

A113 Compatibility improver [additives]

K9756 Compatible polymer blend [universal terms]

NT Interpenetrating network

BT Polymer blend

A124 Complexing agent [additives]

UF Chelating agent

UF Sequestering agent

**{Compliance}** [properties]

USE Rigidity properties B3930





#### Polymer Indexing Reference Manual

- Polymer Indexing Code list
  - alphanumeric order
  - including all autoposted terms
- Polymer Indexing Molecular formula list
  - molecular formulae for all SCNs with known structure
- Polymer Indexing Chemical Aspects graphical definitions
  - graphical representation of certain chemical aspects





### Searching using "-R"

- Codes that have narrow terms can either be auto-posted or manually indexed
  - these are codes at the top of a hierarchy
- When manually indexed, a "-R" suffix is added to these codes
- When auto-posted, no "-R" is added
- Searching for codes with a -R suffix will retrieve answers where the code has been manually indexed
  - auto-posted codes are not retrieved
  - the number of hits is reduced
- The Polymer Indexing Dictionary labels entries with -R as (general) and entries without -R as (all references)





# Searching using "-R" (cont.)

#### **Polymer Applications hierarchy**

**Q7603** Friction materials

Q7614 NT Brakes

Q7625 NT Clutches

Q7636 Fuels

#### => S Q7603 /PLE

retrieves all references to friction materials, (both manually indexed and auto-posted) including all brakes and clutches

#### => S Q7603-R /PLE

retrieves only manually indexed references to generic and other friction materials, *excluding* brakes and clutches





#### Modified polymers & modifying agents

- Modified polymers are indexed as the original un-modified polymer plus codes for the modification
  - All linked at Level 2 (P)
  - e.g., brominated polyethylene:
     polyethylene + brominated
     => S (P1161 (P) M2233) /PLE
- For modifying agents to be indexed they must be specifically referred to in the patent
- Most records online containing modified polymer indexing will therefore not include modifying agents
- To search for a modifying agent
  - Link the chemical SCN or chemical aspects with modifying agent code (H0226) at Level 2 (P)





# Modified polymers & modifying agents (cont.)

To link a modified polymer to a specific modifying agent, the modifying agent is indexed in a separate paragraph to the modified polymer, so

- Search for the modified polymer linked at Level 2 (P) to the modified polymer codes (Mnnnn)
- Search for the modifying agent SCN linked at Level 2 (P) to the modifying agent code (H0226)
- Link the two search statements together at Level 3 (L)





# Modified polymers & modifying agents example

# Brominated polyethylene – prepared by reacting bromine and polyethylene

Search for bromine SCN as modifying agent Search for polyethylene + brominated polymer Link the searches together at Level 3 (L)

```
L1 => S (R01735 (P) H0226) /PLE

L2 => S (P1161 (P) M2233) /PLE

L3 => S L1 (L) L2
```

R01735 bromine SCN

H0226 modifying agent

P1161 polyethylene

M2233 brominated polymer





#### **Polymerization catalysts**

- Search for the polymer which is produced using the catalyst
- Search for the catalyst type linking at Level 2 (P) to appropriate chemical aspects or SCN
- Link the polymer and catalyst search statements at Level 3 (L)





#### Polymerization catalysts example

#### Production of polyolefins using metallocene catalyst

Search for polyolefins using the polymer type code Search for the metallocene catalyst Link the searches at Level 3 (L)

```
L1 => S P1150 /PLE

L2 => S (D62 (P) C293) /PLE

L3 => S L1 (L) L2
```

P1150 polyolefin

D62 metallocene

C293 catalyst





#### **Summary**

- Derwent Enhanced Polymer indexing is an important tool for polymer searching
- Looks at the polymer from many different perspectives
- Proximity operators allows for greater precision
- For complete polymer searching (pre-1994), also use Plasdoc indexing





# For more information...

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