



Using the Derwent Manual Codes on STNext

Andrew Klein – Clarivate Jim Brown – FIZ Karlsruhe

Agenda

- 1. What are Manual Codes?
- 2. How are they structured?
- 3. How do we apply them?
- 4. How can you identify relevant Manual Codes?
- 5. How can Manual Codes help your searches?



What are Manual Codes?



What are Manual Codes?

A 'technical shorthand' or 'language' in the Derwent World Patents Index (DWPI) that provides an alternative entry point from text into topic searching.

What's wrong with text searching?





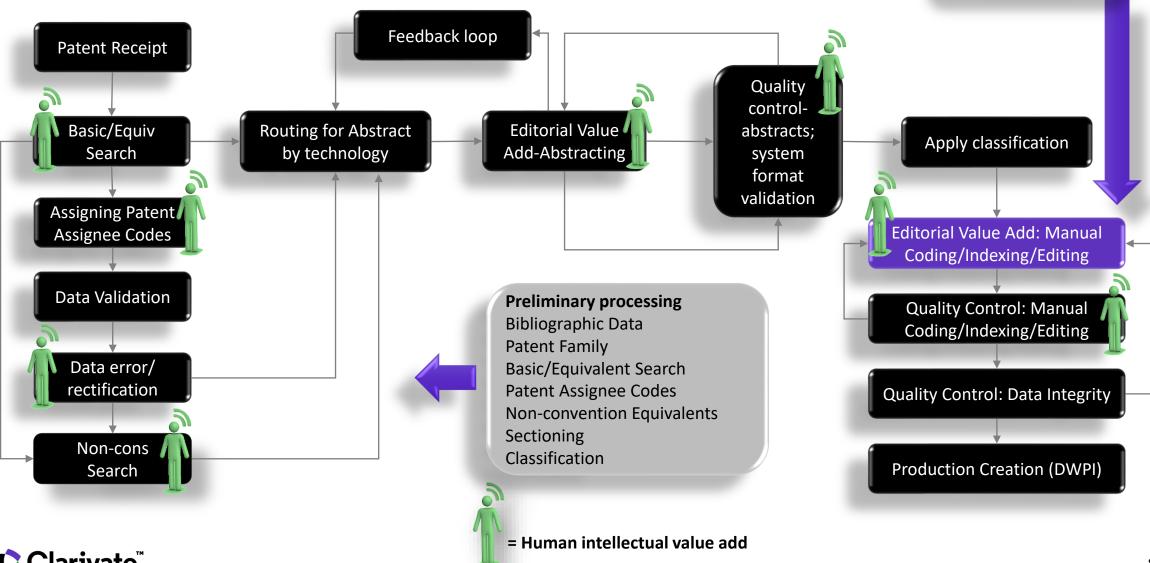
Who creates Manual Codes?

The DWPI editorial team.





Derwent World Patents Index: process overview



Manual

Codes

What is the coverage of Manual Codes?

- Started in 1963 with pharmaceutical patents
- Gradually extended to cover all areas of technology in 1980, 2006, 2012 and 2015
- Most unique and detailed coverage is for Sections A-N (CPI subscription required to access)
- Non-CPI customers: only search codes in Sections P & Q and Sections S-X

Why use Manual Codes?

U11-C04G

[1992]

Ion beam lithography for semiconductor mfr. (H01L-021/027)

U11-C04G1

[1992]

Apparatus and method for ion beam lithography

(H01J-037/30, H01L-021/027)

For control and focusing aspects see also U11-C04A6 and U11-C04C2 respectively. See V05-F codes for novel details of apparatus and methods of apparatus monitoring, operation and control.

U11-C04G2

[1992]

Masks for ion beam lithography

(H01L-021/027, H01J-037/30) (U11-C04A4)

Also see V05-F codes for novel ion beam lithography masks.

stencil mask

U11-C04H

[1992]

X-ray lithography for semiconductor mfr. (H01L-021/027)

Roentgen

U11-C04H1

[1992]

Apparatus and method for X-ray lithography (H01L-021/027, H01J-035) (U11-C04C, U11-C04C1)

Includes exposure using X-ray, soft X-ray and ionising ultraviolet radiation (for exposure using non-ionising ultraviolet radiation e.g. DUV see U11-C04E codes). For control and confinement aspects see also U11-C04A6 and U11-C04C2 respectively. See V05-E and V05-F codes for novel details of apparatus and methods of monitoring, operation and control.

extreme ultraviolet, EUV

U11-C04H2

[1992]

X-ray masks

(H01L-021/027) (U11-C04A3)

Also see V05-E08 codes and V05-F codes for novel X-ray, soft X-ray and EUV lithography masks.

U11-C04J

[2005]

Imprint lithography for semiconductor mfr.

(H01L-021, B41M-003)

Includes use of stamps and presses to form pattern.

Hierarchical, giving more detail as the code gets longer (up to seven levels)



Intellectually applied, based on the patent content and technology specialist's knowledge of the area of invention



Highlight novel technical aspects of the invention, as well as applications



Multiple codes applied to a single document to cover all relevant aspects



Updated yearly by technology specialists based on technology trends and customer feedback



More **consistently indexed** than IPCs; Produces **unique relevant hits** when compared to text searching and IPC's

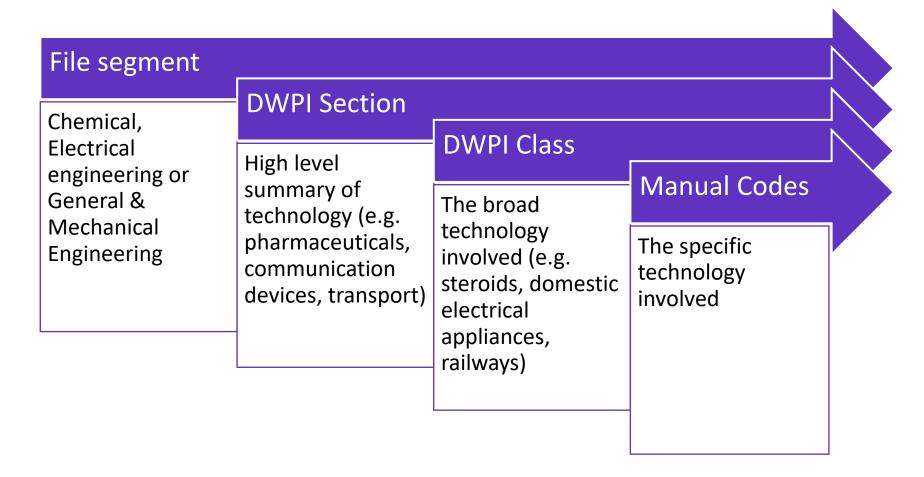




How are Manual Codes structured?



How do Manual Codes relate to other DWPI classification?





DWPI File Segments

- CPI The Chemical Patents Index
- EPI The Electrical Patents Index
- GMPI The General & Mechanical Patents Index



CPI Sections

Sections are **broad subject areas** for high level technical categorization

- A Polymers and Plastics
- **B** Pharmaceuticals
- C Agricultural Chemicals
- D Food, Detergents, Water Treatment and Biotechnology
- E General Chemicals
- F Textiles and Paper-Making
- G Printing, Coating, Photographic
- H Petroleum
- J Chemical Engineering
- K Nucleonics, Explosives and Protection
- L Refractories, Ceramics, Cement and Electro(in)organics
- M Metallurgy
- N Catalysts



GMPI and **EPI** Sections

Sections are **broad subject areas** for high level technical categorization

P – General

Q – Mechanical

S – Instrumentation, Measuring and Testing

T – Computing and Control

U – Semiconductors and Electronic Circuitry

V – Electronic Components

W – Communications

X – Electric Power Engineering



Example

An invention for phenolplast adhesives would receive the manual code **A05-C01B1**

- File segment: CPI
- DWPI Class: A21 Phenoplasts; Epoxides; Aminoplasts
- Manual Code hierarchy:

Section	A05	Condensation	Polymer
Group	A05-C	Phenolplast	
Subgroup	A05-C01	General	
Division	A05-C01B	. Uses	
Subdivision	A05-C01B1	Adhesives	



Derwent Manual codes on STNext

Section A05 Condensation Polymer Group A05-C Phenolplast

Subgroup A05-C01 General **Division** A05-C01B . Uses

Subdivision A05-C01B1 .. Adhesives

- Derwent manual codes are searched using /MC search qualifier
- Derwent manual codes can be searched at section level without truncation and retrieve all relevant records
- Can use truncation for searching at group, subgroup and division levels
 - If you search a manual code at these levels without truncation on STNext, you will only retrieve those records where that specific manual code was indexed



Searching Derwent Manual Codes on STNext

=> S A05/MC

A05 CONDENSATION POLYMERS L1 1243027 A05/MC

=> S A05?/MC

L2 1243027 A05?/MC

At the section level, there is no need to use truncation.

=> S A05-C/MC

A05-C PHENOPLASTS (OTHERS)
L3 1467 A05-C/MC

=> S A05-C?/MC

L4 64380 A05-C?/MC

At the group level, truncation needs to be used to capture all relevant records.





Searching Derwent Manual Codes on STNext

=> S A05-C01/MC

A05-C01 PHENOPLASTS GENERAL L5 11112 A05-C01/MC

=> S A05-C01?/MC

L6 48104 A05-C01?/MC

=> S A05-C01B/MC

A05-C01B PHENOPLASTS USES L7 19475 A05-C01B/MC

=> S A05-C01B?/MC

L8 29061 A05-C01B?/MC

At the subgroup level, truncation needs to be used to capture all relevant records.

At the division level, truncation needs to be used to capture all relevant records.

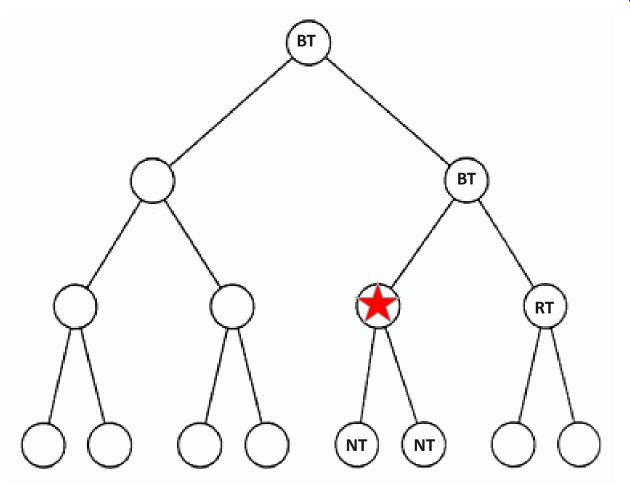


EXPAND on Derwent Manual Codes on STNext

- The Derwent Manual Codes are hierarchical
 - Broader terms (BT), narrower terms (NT), related terms (RT)
- => E manual code+ALL/MC
 - Shows the entire Derwent Manual Code hierarchy for a particular code in an EXPAND statement
 - Will help determine the appropriate level to incorporate into the strategy
 - The best code may be the exact code that was expanded on, or a broader code or a narrower code



Hierarchical tree example







EXPANDing Derwent Manual Codes on STNext

=> E A05-C01B+ALL/MC		
E1	1243027	BT3 A05/MC
E2	1467	DEF CONDENSATION POLYMERS BT2 A05-C/MC
E3	11112	DEF PHENOPLASTS (OTHERS) BT1 A05-C01/MC
E4	19475	DEF PHENOPLASTS GENERAL > A05-C01B/MC DEF PHENOPLASTS USES
E5	1290	HNTE (1986-)
		DEF PHENOPLASTS USE AS (ELECTRO)PHOTOGRAPHIC USE HNTE (1994-)
E6	8460	NT1 A05-C01B1/MC DEF PHENOPLASTS USE AS ADHESIVES AND BINDERS; COATINGS;
		LAMINATES; REINFORCED PLASTICS HNTE (1986-)
E7	1290	NT1 A05-C01B2/MC
		DEF PHENOPLASTS USE AS (ELECTRO)PHOTOGRAPHIC USE HNTE (1994-)
****** END *******		

E4 is the term that was EXPANDed around. E1-E3 are broader codes; E5-E7 are related and narrower codes.





How do we apply Manual Codes?



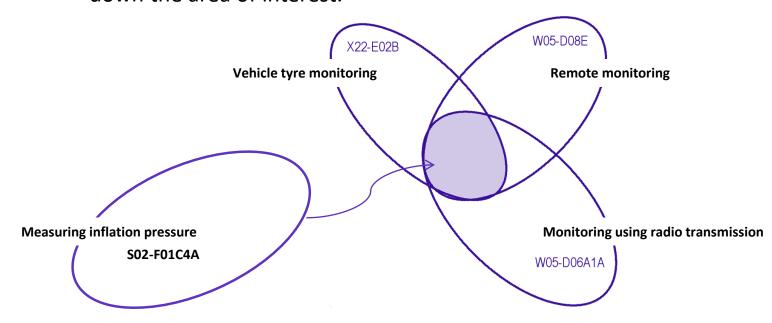
How do we apply Manual Codes?

 They are applied in combination with one another to effectively "paint" a picture of the invention.

 They are applied at the appropriate level of broadness or specificity.

Manual Coding in combination

Codes are designed to be used in conjunction with one another to narrow down the area of interest.



Adding the code (**S02-F01C4A**) for inflation pressure measurement to the vehicle radio remote monitoring codes completes the level of detail required to be able to search for the area of interest for this example.



Engineering example

Manual Codes:

Q17-E03 Vehicle engine lubricating arrangement

Q19-F Recreational vehicles such as quad-bikes

Q51-G02 Liquid cooling of IC engine

Q13-A03 Clutch

Q13-A22 Transmission cooling arrangements



(19) United States

(12) Patent Application Publication (10) Pub. No.: US 2013/0333980 A1 Tsunashima et al.

- (43) Pub. Date:

Dec. 19, 2013

(54) LUBRICATING DEVICE FOR POWER UNIT

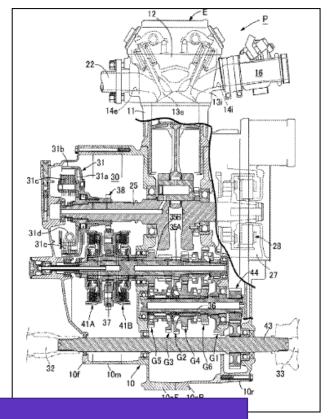
- (71) Applicant: HONDA MOTOR CO., LTD, Tokyo
- (72) Inventors: Kousuke Tsunashima, Wako-shi (JP); Masafumi Taki, Wako-shi (JP); Seiji Hamaoka, Wako-shi (JP); Taku Hîrayama, Wako-shi (JP)
- (73) Assignee: HONDA MOTOR CO., LTD, Tokyo
- (21) Appl. No.: 13/902,491
- May 24, 2013
- Foreign Application Priority Data

Publication Classification

- (51) Int. Cl. F01M 1/02 (2006.01)
- (52) U.S. Cl. F01M 1/02 (2013.01)

ABSTRACT (57)

A lubricating device for a power unit includes an oil pan, an oil tank being separated from the oil pan, a scavenging pump for circulating the received oil of the oil pan to the oil tank, and a feed pump to feeding oil stored in the oil tank to the engine and the transmission, wherein an oil reservoir for storing a certain amount of oil to immerse a lower part of a clutch that connects and disconnects a transmission route between the engine and the transmission is provided in a power unit case, and a first overflow passage is provided in the oil tank such that when the stored oil in the oil tank is



Jun. 14, 2012

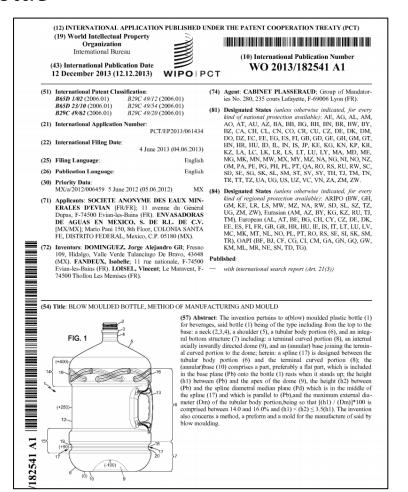
DWPI Title:

Lubricating device for **internal combustion engine** used in **quad bike**, has scavenging pump feeding sump oil to tank with pump feeding oil from tank to cool clutch of vehicle transmission



Polymer example

Blow molding of polyethylene to produce a bottle



Manual Codes:

A04-G02C Polyethylene fabrication

A11-B10 Blow moulding

A12-P06A Bottles

Q32-A01 Bottles

Q31-C Manufacture of package

Q33-B Plastic

Q34-B Packages for holding liquid/semi-liquid

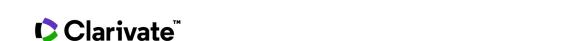
Q34-C07 Drinks and beverages



Derwent Manual code search example

Not all manual codes have to be searched, only enough to capture relevant records

Note: This set can be further refined by searching the polyethylene concept – through Derwent Manual Codes, Derwent Polymer Indexing, etc.







Broadness or specificity of Manual Codes

Codes applied reflect the broadest concepts described.

- Independent claim: "We claim a drug that treats cancers..."
 - Dependent claim: "... such as lung cancer..."

Code applied: B14-H01 (cancer treatment) **NOT** B14-H01K3 (lung cancer)

Broadness or specificity of Manual Codes

Special case: when 3 or more specific codes that sit under a general code would be applied, only the general code applies.

Derwent Manual code indexing example

CN1102563 – First 5 claims from CNFULL

CLM

- 1. A compound with anticancer activity, characterized in that has the following general structure: R group is CH30r H, wherein said compound from the bright eye extracting.
- 2. With anticancer activity of the compound according to Claim 1, characterized in that said compounds for the larynx cancer, liver cancer, breast cancer, lung cancer, stomach cancer, neuroblastoma, nasopharyngeal carcinoma, prostate or bladder cancer prevention.
- 3. With anticancer activity of the compound according to Claim 2, characterized in that said compounds for the prevention of cancer.
- 4. With anticancer activity of the compound according to Claim 1, characterized in that said compound for increasing the Caspase - 3 protein expression.
- 5. With anticancer activity of the compound according to Claim 1, protein expression to induce apoptosis.

Note: The second claim in this document claims anticancer activity for many types of cancer. Because there are three or more specifics, the Derwent indexer will opt for a more generic Manual Code, as opposed to specific codes for each type listed.

characterized in that said compound by increasing the Caspase - 3







Derwent Manual code indexing example

CN1102563 – Derwent Manual Codes in WPIX

Note: You may expect the Manual Codes to include B14-H01K3 (Lung Cancers), but because the document mentions other cancers as well, the indexer opted for a more generic code to cover these concepts, namely B14-H01K (Oral and Respiratory Cancers.)





Derwent Manual code indexing example

```
=> E B14-H01K3+ALL/MC
       1239292
                BT4 B14/MC
                     PHARMACEUTICAL ACTIVITIES
                HNTE (1963-2011)
                HNTE (2012-
E2
                      B14-H/MC
                       ANTICANCER DRUGS
F3
        192462
                       B14-H01/MC
                       ANTICANCER GENERAL AND OTHER
                  HNTE (1994-
E4
                        B14-H01K/MC
           334
                        ORAL AND RESPIRATORY CANCERS
                   HNTE (2005-
E5
         6800
                         B14-H01K3/MC
                         LUNG CANCERS
                    HNTE (2006-
******* FND *******
```





How can you identify relevant Manual Codes?

Three good methods for identifying Manual Codes



Reverse engineer

 Find a highly relevant reference and review it for assigned Manual Codes



Analyze

- Use text
 searching to
 find a list of
 relevant
 references
- Use ANALYZE command to identify common Manual Codes



Browse

- EXPAND on the Manual Code hierarchy in a section of interest
- Browse the hierarchy to find relevant Manual Codes



Reverse Engineering

- Find a record that is close to the searched concept
- See which Derwent Manual Codes have been indexed for that record
- Get the definitions of those Manual Codes
- Decide which codes are relevant for your search







Reverse Engineering (cont.)

Manual Codes of interest:

A04-G02C Polyethylene fabrication

A11-B10 Blow moulding

A12-P06A Bottles

Q32-A01 Bottles

Q31-C Manufacture of package

Q33-B Plastic

Q34-B Packages for holding liquid/semi-liquid

Q34-C07 Drinks and beverages





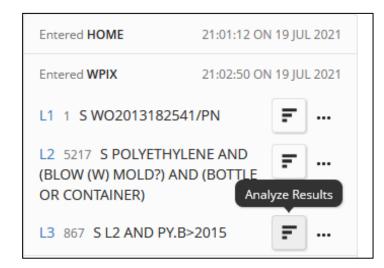
ANALYZE

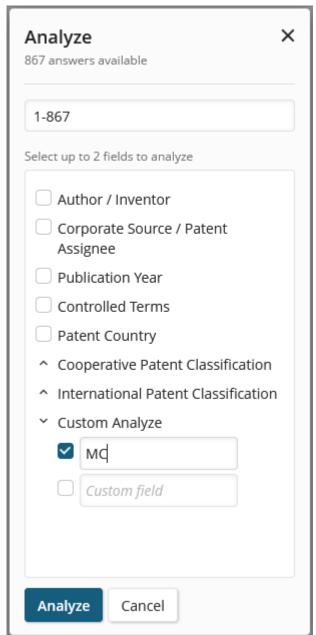
- Perform a keyword (or IPC, CPC, etc.) search
- ANALYZE the set for the Derwent Manual Codes
- Get the definitions of the higher-posted Manual Codes
- Decide which codes are relevant for your search

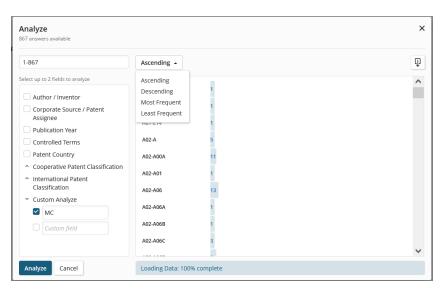


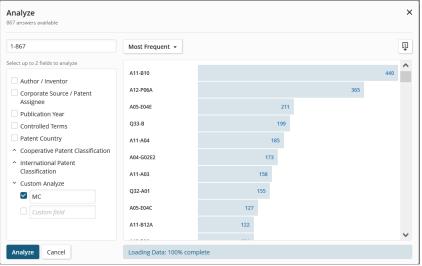


ANALYZE

















Downloaded ANALYZE results – Excel

	۸	<u> </u>
4	А	В
1	A11-B10	440
2	A12-P06A	365
3	A05-E04E	211
4	Q33-B	199
5	A11-A04	185
6	A04-G02E	173
7	A11-A03	158
8	Q32-A01	155
9	A05-E04C	127
10	A11-B12A	122
11	A12-P06	121
12	A12-P01B	117
13	Q34-B	105
14	A09-D01	88
15	P73-V16	78
16	A04-G02C	72
17	A08-M10	65
18	A11-B02	59
19	A11-B07	57
20	A12-W09	54

	- -	
21	A08-A06	52
22	A04-G06	49
23	A04-G02E	47
24	Q32-B01	47
25	A07-A02D	46
26	M-80A	45
27	Q34-C07	44
28	A04-G02B	42
29	A12-P01	42
30	Q34-J03	41
31	A04-G03E	38
32	A08-R	37
33	A08-R01	36
34	A11-B01	36
35	A11-B07A	36
36	A08-M03	33
37	A08-S02	33
38	B11-C06	32
39	A12-P03	31
40	A08-M03E	30

41	A04-G11	29
42	Q32-B99	28
43	A04-G01E	27
44	A04-G03C	27
45	A07-A03A	27
46	A08-E01	27
47	A04-G06A	25
48	A08-M09B	25
49	A11-A	25
50	A12-P02	25
51	A05-E01D	24
52	A08-S01	24
53	Q32-T01C	24
54	A10-B01	23
55	A11-C06	23
56	A11-A02C	22
57	A12-V03D	22
58	P33-B	22
59	P73-A01	22
60	P73-A03	22

spreadsheet

Start with the highest posted Manual Codes – decide if the code is appropriate by checking the title/definition.





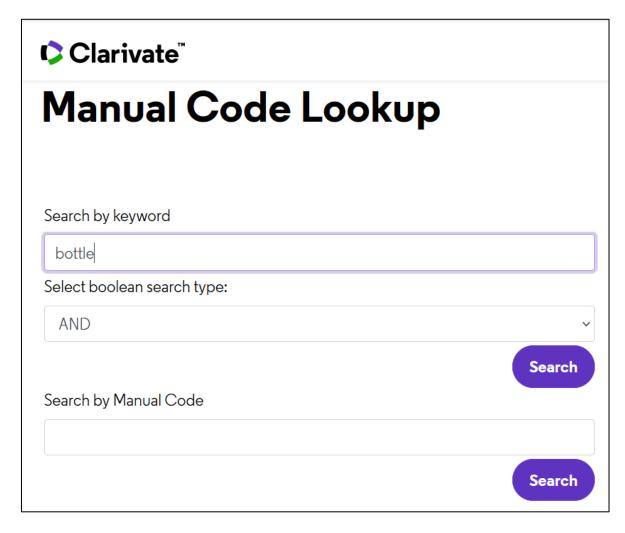


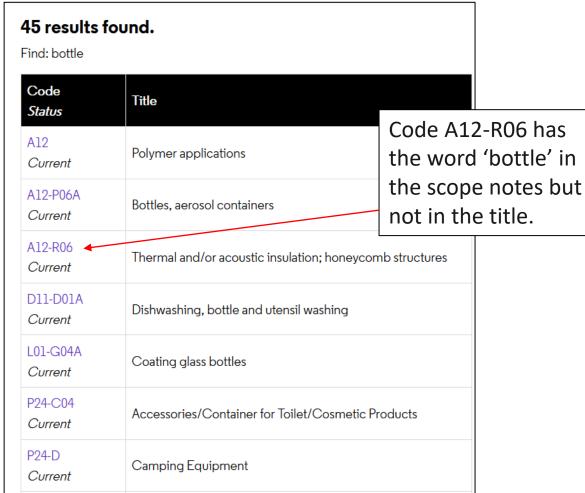
Browse

- The Derwent Manual code Manuals are available as pdfs
 - CPI https://clarivate.com/intellectual-property/wpcontent/uploads/sites/5/dlm_uploads/2024/12/CPI_Manual_Codes_2025.pdf
 - EPI https://clarivate.com/intellectual-property/training-support/derwent/dwpi-referencecenter/engineering-user-guides/
- Keyword search of the manual code titles is available in the Derwent Manual Code Lookup tool:
 - https://clarivate.com/intellectual-property/training-support/derwent/dwpi-reference-center/mcl/



Derwent Manual Code Lookup









How can Manual Codes help your searches?



Two uses for Manual Codes

Manual Codes as synonyms

- Combine Manual code with keyword or other class terms (IPC/CPC) terms using OR
- Make your search more comprehensive

Manual Codes as filters

- Restrict your keyword searches with Manual Codes by combining using AND
- Improve the relevancy of your results



Manual Codes as synonyms

```
=> s bottle

405383 BOTTLE/BI
64501 BOTTLES/BI
427733 BOTTLE/BI
((BOTTLE OR BOTTLES)/BI)
448804 BOTTLE/BIEX
29305 BOTTLES/BIEX
457855 BOTTLE/BIEX
((BOTTLE OR BOTTLES)/BIEX)
L4 554869 BOTTLE/BI,BIEX
```





Manual Codes as synonyms

=> D TI 1-3

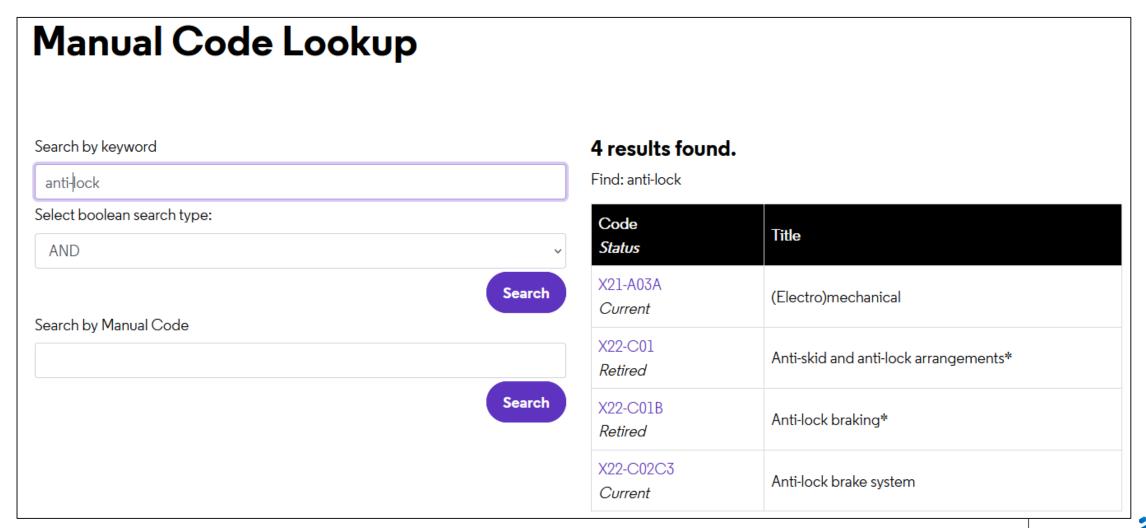
- L6 ANSWER 1 OF 5396 WPIX COPYRIGHT 2021 CLARIVATE ANALYTICS on STN
- TI Automatic constant temperature feeding device for ICU children, has feeding device main body whose middle position is connected with end of universal hose, where another end of universal hose is connected on clamping body that is formed with opening
- L6 ANSWER 2 OF 5396 WPIX COPYRIGHT 2021 CLARIVATE ANALYTICS on STN
- TI Milk box for baby nipple, has containing groove located at side of drinking opening, containing groove and drinking opening arranged at intervals, and box cover whose lower surface is provided with sealing ring that is fixed around drinking opening
- L6 ANSWER 3 OF 5396 WPIX COPYRIGHT 2021 CLARIVATE ANALYTICS on STN
- TI Temperature display base for children eating tableware, has base provided with temperature display that is provided with display screen, touch switch, button battery and convex seat, and terminal electrically connected with probe rod







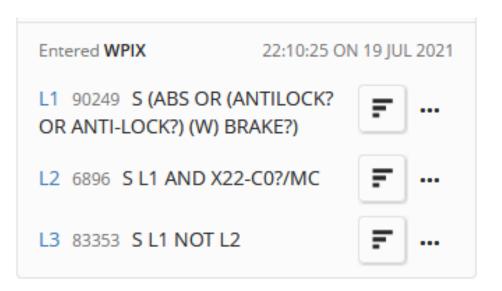
Manual Codes as filters







Manual Codes as filters



```
=> S L1 NOT L2

L3    83353 L1 NOT L2

=> D KWIC 1-3

L3    ANSWER 1 OF 83353 WPIX COPYRIGHT 2021 CLARIVATE ANALYTICS on STN
NOV NOVELTY - The utility model relates to the technical field of resin diameter expansion, specifically to a high-efficiency ABS resin diameter expanding device, comprising a diameter expanding kettle; the top part of the diameter expanding kettle is provided with.. . .
```

The keyword search also captured records that pertained to Acrylonitrile-Butadiene-Styrene terpolymer, antibodies, and air bearing surfaces. Adding the Derwent Manual Codes to the original search refined it, removing a lot of false drops.





Summary

- The Derwent Manual Codes are another important search tool to complement keyword searching
- Can be used by themselves, or in conjunction with other classification schemes (IPCs, CPCs, etc.)
- When using Manual Codes, be sure to check the start date of the term in question
 - May have to include older, broader terms for older time periods
- Always consider broadening the strategy, given the 'three or more concepts from the same hierarchy, only the generic code will be indexed' indexing rule









For more information ...

CONTACT

Clarivate

Support.clarivate.com Clarivate.com CAS

help@cas.org CAS .org **FIZ Karlsruhe**

EMEAhelp@cas.org







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